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7 Attorneys for the SRA Funds Investor Group

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**UNITED STATES DISTRICT COURT
 NORTHERN DISTRICT OF CALIFORNIA
 SAN FRANCISCO DIVISION**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

JOHN V. BIVONA; SADDLE RIVER
ADVISORS, LLC; SRA MANAGEMENT
LLC; FRANK GREGORY MAZZOLA,

Defendants, and

SRA I LLC; SRA II LLC; SRA III LLC;
FELIX INVESTMENTS, LLC; MICHELE J.
MAZZOLA; ANNE BIVONA; CLEAR
SAILING GROUP IV LLC; CLEAR
SAILING GROUP V LLC,

Relief Defendants.

Case No: 3:16-cv-01386-EMC

**STATUS UPDATE RE: SUSAN
UECKER ON BEHALF OF SRA
FUNDS INVESTOR GROUP**

Date: December 13, 2018
Time: 1:30 PM
Courtroom: 5
Judge: Hon. Edward M. Chen

1 In its alternative distribution plan, the SRA Funds Investor Group (“Investor Group”)
2 proposed that the Receiver be replaced by Susan Uecker, a local and experienced receiver with
3 relevant experience, to serve in a slimmed down wind-down receiver or court-appointed oversight
4 officer role. (See ECF No. 407-1). At the December 13, 2018, the Court inquired as to Ms.
5 Uecker’s availability and willingness to serve as a court-appointed receiver.

6 Following the hearing, the undersigned counsel contacted Ms. Uecker to better understand
7 her time and availability to serve as a receiver in this matter, should the Court appoint her to serve
8 in that function. Ms. Uecker has advised that she has the availability, time and resources to serve as
9 a receiver in this matter. Ms. Uecker further advised that she was contacted by Ms. Susan LaMarca,
10 an attorney with the Securities and Exchange Commission (“SEC”) on December 7, approximately
11 one week before the December 13 hearing, and was asked at that time about her other receivership
12 commitments, and whether any existing such commitments would impact Ms. Uecker’s ability to
13 serve as a receiver in this matter, should the Court appoint her to that role. Ms. Uecker responded
14 to Ms. LaMarca that her existing commitments would not be an impediment to her ability to serve
15 as a court-appointed receiver here, and further, that Ms. Uecker had the time and ability to serve in
16 that function, should the Court appoint her as receiver in this matter.

17 A true and correct copy of a rate sheet setting forth the current regular billing rates for Ms.
18 Uecker and others on her staff, including those able to provide accounting and administrative
19 services, is attached hereto as **Exhibit A**. The attached rate sheet makes one correction to the
20 information provided to the Court at the December 13 hearing. Ms. Uecker’s regular billing rate is
21 \$400 per hour, not \$425 per hour as erroneously stated at hearing.

22 Respectfully submitted,

23 DATED: December 18, 2018

PRITZKER LEVINE LLP

24 By: /s/ Elizabeth C. Pritzker
25 Jonathan K. Levine
26 Elizabeth C. Pritzker
Bethany Caracuzzo

27 Attorneys for the SRA Funds Investor Group

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2018 STANDARD HOURLY RATES

HOURLY RATES

RECEIVER:	
SUSAN L. UECKER	\$400.00
PROJECT MANAGER/ASSOCIATE:	
CYNTHIA GRAY	200.00
ACCOUNTING:	
ANNE YANOW	100.00
EDNA SIMONSON	100.00
STAFF:	
ANN PEYTON	50.00